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# On the scale dependence in the dynamics of rupture: constant fracture energy versus size-dependent breakdown work

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## Abstract

Potential energy stored during the inter-seismic period by tectonic loading around faults is released during earthquakes as radiated energy, heat and fracture energy. The latter is of first importance since it controls both the nucleation and the propagation of the seismic rupture. On one side, the fracture energy estimated for natural earthquakes (also called breakdown work) ranges between 1 J/m<sup>2</sup> and tens of MJ/m<sup>2</sup> for the largest events, and shows a clear slip dependence. On the other side, recent experimental studies highlighted that, at the scale of the laboratory, fracture energy is a material property (energy required to break the fault interface) limited by an upper bound value corresponding to the fracture energy of the intact material (1 to 10 kJ/m<sup>2</sup>) independently of the size of the event, i.e. of the seismic slip. To reconcile these contradictory observations, we performed stick-slip experiments, as analog for earthquakes, in a bi-axial shear configuration. We analyzed the fault weakening during frictional rupture by accessing to the near-fault (1 mm away) stress-slip curve through strain-gauge array. We first estimated fracture energy by comparing the experimental strain with the theoretical predictions from both Linear Elastic Fracture Mechanics (LEFM) and a Cohesive Zone Model (CZM). By comparing these

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values to the breakdown work obtained from the integration of the stress-slip curve, we show that, at the scale of our experiments, fault weakening is divided into two stages; the first one, corresponding to an energy of few  $\text{J}/\text{m}^2$ , consistent with the estimated fracture energy (by LEFM and CZM), and a long-tailed weakening corresponding to a larger energy not localized at the rupture tip. Through numerical simulations, we demonstrate that only the first weakening stage controls the rupture initiation and that the breakdown work induced by the long-tailed weakening can enhance slip during rupture propagation and allow the rupture to overcome stress heterogeneity along the fault. We conclude that the origin of the seismological estimates of breakdown work could be related to the energy dissipated in the long-tailed weakening rather than to the one dissipated near the tip.

*Keywords:* rupture dynamics, earthquake energy budget, fracture energy, breakdown work, frictional rupture

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## 1. Introduction

Earthquakes are due to the abrupt release of part of the elastic stored energy accumulated during the inter-seismic period, which is released as radiated energy in the bulk and dissipated energy in the vicinity of the fault. The latter can be subdivided into two contributions: (1) the so-called breakdown work, which is associated to fault weakening down to some minimum frictional strength, and (2) the remaining frictional dissipation (Kanamori, 1977; Kanamori and Brodsky, 2004). The breakdown work is a collective dissipation term that includes on- and off-fault processes occurring at a range of timescales during rupture, from the onset (i.e., near the tip of the propagating rupture) to the later stages of slip (i.e., far from the tip). Inspired from the energy budget of slip-weakening models of earthquakes (e.g., Palmer and Rice, 1973), the breakdown work is often proposed as a proxy for the fracture energy (Venkataraman and Kanamori, 2004; Abercrombie and Rice, 2005), which is defined as the energy consumed at the rupture tip to propagate the rupture by a unit area. However, breakdown

work is identified to fracture energy only if fault weakening is concentrated near the propagating edge of the rupture, which is not expected to be systematically the case during natural earthquakes (e.g., Lambert and Lapusta, 2020; Brener and Bouchbinder, 2020). How this dissipated energy is distributed around the propagating rupture has a key impact on its dynamics.

Estimating the partitioning and spatio-temporal distribution of energy dissipation during earthquakes is of first importance since they control the nucleation and propagation of the seismic rupture, as well as the intensity of the wave radiation at the origin of ground motions. Unfortunately, seismological observations do not allow for a complete estimate of the energy balance of crustal earthquakes, due to the presence of several unknowns, such as the stresses acting on the fault and the local seismic slip. The analysis of the radiated seismic waves provides a good estimate of the radiated energy (Kanamori, 1977; Venkataraman and Kanamori, 2004), but quantifying the breakdown work of earthquakes remains challenging and relies on a number of simplifying assumptions that are difficult to assess. Seismological estimates indicate that breakdown work scales with earthquake slip, as power law with exponent ranging from 0.5 to 2 (e.g., Abercrombie and Rice, 2005; Viesca and Garagash, 2015).

Laboratory studies have brought useful constraints on the energetics of shear ruptures. Fracture experiments conducted in rocks or other materials have shown that the onset of frictional slip can be described by a shear crack (i.e., mode II fracture) nucleating and propagating along the fault interface (e.g., Johnson and Scholz, 1976; Ohnaka and Yamashita, 1989; Rubinstein et al., 2004; Svetlizky and Fineberg, 2014; Bayart et al., 2016). Using Linear Elastic Fracture Mechanics (LEFM), recent studies (e.g., Svetlizky and Fineberg, 2014; Bayart et al., 2016; Kammer and McLaskey, 2019) have highlighted that the stress field and associated release of elastic energy at the rupture tip is fully controlled by an effective fracture energy of the interface that is a scale-independent material property. Laboratory-derived estimates yield upper bound values for the shear fracture energy that are commensurate to the mode I fracture energy of the intact material (from 1 to 10 kJ/m<sup>2</sup>, depending on the tested material).

Remarkably, when frictional motions can be described by fracture mechanics, the nucleation of the instability can be modeled by rate and state frictional laws, assuming common values for the rate and state parameters, that respect  
50 the shear fracture energy estimated at the rupture tip during its propagation (Latour et al., 2013; Kaneko et al., 2016; Marone, 1998). In addition, the propagation and arrest of dynamic ruptures in laboratory samples has been shown to be fully described by fracture mechanics (Kammer et al., 2015; Bayart et al., 2016; Galis et al., 2017; Svetlizky and Fineberg, 2014; Passelègue et al., 2020),  
55 raising the hope of predicting earthquake motions.

However, laboratory studies have shown values of fracture energy of the order of tenths to hundreds of  $\text{kJ/m}^2$ , far from those of natural earthquakes ( $\text{MJ/m}^2$ ), suggesting a dichotomy between the processes occurring at the two scales of observations. Effectively, at the scale of natural faults, seismological  
60 observations indicate a slip dependence of the breakdown work of earthquakes (Aki, 1979; Abercrombie and Rice, 2005), with values ranging from  $1 \text{ J/m}^2$  to tens of  $\text{MJ/m}^2$  for the largest crustal earthquakes (i.e. three to four order of magnitude larger than the fracture energy of intact material constituting the seismogenic crust), differing from the notion of fracture energy as a constant  
65 material property. Recent work by Ke et al. (2020) suggests that apparent scale-dependent breakdown work can emerge in ruptures governed by an underlying constant (material-dependent) fracture energy when earthquakes propagate into regions of decreasing background stress, where ruptures progressively stop. Such apparent scaling arises due to stress drop heterogeneity rather than intrinsic  
70 fault strength evolution.

By contrast with laboratory *rupture* experiments, *friction* experiments at high velocity, aimed at characterizing the evolution of frictional stress that would be observed at a single point along the fault during seismic slip and have reproduced the slip-dependence of breakdown work (Nielsen et al., 2016; Cornelio  
75 et al., 2020; Passelègue et al., 2016). Similarly, fault models based on weakening mechanisms such as thermal pressurization (Viesca and Garagash, 2015; Lambert and Lapusta, 2020) or flash heating phenomena (Brantut and Viesca, 2017)

have also been shown to exhibit scaling between slip and breakdown work. In both experiments and models, most of the total dissipated energy is converted  
80 into heat, further enhancing the weakening of the fault during coseismic slip due to the occurrence of thermally activated weakening processes. In this regard Lambert and Lapusta (2020) emphasize how, due to this enhanced fault weakening prolonged after rupture propagation, breakdown work does not solely correspond to dissipation occurring within a small region near the propagating  
85 rupture edge (the cohesive zone), but includes possibly large contributions from dissipation occurring at large distances from it. The exact role of such “long-tailed” weakening in the dynamics of rupture propagation, and in particular its possible contribution to an effective fracture energy at the propagating tip, remains somewhat unclear. Using rate-and-state models of friction, recent works  
90 show that while the dynamics of the frictional rupture can be described by fracture mechanics, the fracture energy inverted at the crack edge only corresponds to a smaller fraction of the breakdown work integrated during rupture (Barras et al., 2020; Brener and Bouchbinder, 2020).

In this paper, we combine, in a single experimental setup, the study of rupture  
95 dynamics and friction evolution. From the variation of frictional stress with slip measured in the wake of the rupture, we show that the fracture energy only represents a small fraction of the total breakdown work at the scale of laboratory experiments. Building on these observations, this manuscript tackles two objectives: firstly, to investigate and quantify the discrepancy between fracture  
100 energy and breakdown work existing at the scale of laboratory experiments, and secondly to discuss how the observed dynamics can be up-scaled to the energy budget of earthquakes.

## 2. Methods

### *2.1. Apparatus and loading conditions*

105 Experiments were performed with a bi-axial shear apparatus, located at LEMR EPFL. The apparatus is composed of a rigid steel frame holding two

rectangular cuboid blocks of polymethylmethacrylate (PMMA) of known elastic properties (Young’s modulus  $E=5.7$  GPa and Poisson’s ratio  $\nu=0.33$ )(Figure1a.). The dimensions of the PMMA blocks are of 20 cm  $\times$  10 cm  $\times$  1 cm for the upper  
110 block, and 50 cm  $\times$  10 cm  $\times$  3 cm for the lower block, resulting in a 20 cm  $\times$  1 cm fault interface. External loading is imposed by using two Enerpac hand-pumps applying respectively normal and shear load with a maximum stress of 20 MPa (Figure 1a). The applied macroscopic loads were measured using two load cells located between the frame and the pistons, and recorded at 100 Hz  
115 sampling rate with a National Instrument data acquisition system.

## 2.2. High frequency acquisition systems

To capture the details of the dynamic ruptures, the upper PMMA block was equipped with an equally spaced array of strain gauge rosettes placed 1 mm away from the fault, each covering an area of 0.30 mm $\times$ 0.36 mm and containing  
120 three linear gauges (with a resistance of 350 Ohms and a gauge factor of 2.08) oriented at 45°, 90° and 135° with respect to the fault direction. Strain gauge bridge completion and amplification was done using a Kyowa signal conditioner CDA-900A. Amplified strain gauge signals were acquired continuously at high recording frequency (2 MHz) using digital oscilloscopes. This system allowed  
125 a maximum bandwidth frequency of 500 kHz. The conversion coefficient of recorded voltage into strain was  $2 \times 10^{-3}$  /V.

In addition, a high-speed laser displacement sensor (LK-G 5000 from Keyence) was used to measure the final macroscopic displacement of the upper block. The sensor employed a standard reflection technique using a triangulation between  
130 the emitting and the receiving laser devices. The maximum bandwidth frequency was 500 kHz and displacement was recorded at 2 MHz.

Finally, two 1-axis accelerometers, which preferentially measured the in-plane acceleration of particles, were placed at 2 mm from the fault to capture the rupture motions during experiments. These sensors were monitored at 10  
135 MHz using digital oscilloscopes. Records of a 65 ms time window were triggered when the signals exceeded a threshold of 0.1 m/s<sup>2</sup> during instabilities. One

of the accelerometers, located close to a strain gauge rosette, was monitored continuously at 2 MHz sampling rate to allow for a perfect synchronization with strain gauges and laser measurements.

140 *2.3. Experimental protocol*

To reproduce earthquakes with our experimental system, a normal load was first imposed along the fault, up to values ranging between 0.2 and 5 MPa. Then, the shear load was manually increased up to the onset of instabilities, which resulted in a fast release of stress along the experimental fault, associated  
 145 with seismic slip and elastic wave radiation (i.e., stick slip events). As expected, increasing the initial normal load led to an increase in the shear stress required to observe the onset of slip, the stress drop recorded during the instabilities, as well as to an increase in the macroscopic slip along the fault.

*2.4. Estimation of local strain and rupture velocity*

During stick-slip instabilities, the local material response was analyzed using the strain gauge array. Denoting  $x$  and  $y$  the fault-parallel and the fault-perpendicular coordinates, respectively, the elements  $\varepsilon_{xx}$ ,  $\varepsilon_{yy}$ ,  $\varepsilon_{xy}$  of the strain tensor were obtained from the measured strain (referred to as  $\varepsilon_1$ ,  $\varepsilon_2$ ,  $\varepsilon_3$  for strain gauges oriented at  $45^\circ$ ,  $90^\circ$  and  $135^\circ$  from the fault direction, respectively) as

$$\begin{aligned}\varepsilon_{yy} &= \varepsilon_1, \\ \varepsilon_{xy} &= \frac{\varepsilon_3 - \varepsilon_2}{2}, \\ \varepsilon_{xx} &= \varepsilon_3 + \varepsilon_2 - \varepsilon_1.\end{aligned}\tag{1}$$

150 Typical time series of shear strain ( $\varepsilon_{xy}$ ) computed at each rosette location, together with the laser displacement sensor and the acceleration motions, during a stick-slip instability (here obtained at 2.3 MPa normal stress) are presented in Figure 1b.

Rupture velocity ( $C_f$ ) was estimated using the times at which the passage  
 155 of rupture front was detected from the different strain gauges and the relative distance between them. The arrival of the rupture front was determined as the

moment at which the strain gauges signal reached its peak (Figure 1c); this method assumes that the rupture speed is constant over the distance spanned by the gauge array. An increase in rupture velocity is observed with an increase  
 160 in the initial peak shear stress, as observed in previous studies (Ben-David et al., 2010; Passelègue et al., 2013).

Once the rupture fully propagated along the interface, the two sides of the fault started behaving like rigid blocks slipping one against the other, as shown by the evolution of the macroscopic slip and the cessation of measured acceler-  
 165 ation motions (Figure 1b).

### 3. Experimental Results

#### 3.1. A Cohesive Zone Model estimation of fracture energy

Using the measured rupture velocities, dynamic strain perturbations recorded at the passage of the rupture tip were compared to theoretical predictions using both a Cohesive Zone Model (CZM) (Poliakov et al., 2002; Kammer and McLaskey, 2019) and LEFM (Svetlizky and Fineberg, 2014) (Supplementary material). The CZM regularizes the stress singularity with the introduction of a dynamic cohesive zone of dimension  $x_c$  at the crack tip within which all cohesive forces are dissipated. Here we use the “distance-weakening” model of Poliakov et al. (2002), where the shear stress is described as a function of position  $x$  along the crack following

$$\Delta\tau(x) = \begin{cases} 0 & (x - x_{\text{tip}}) < -x_c \\ (1 + \frac{x - x_{\text{tip}}}{x_c})(\tau_p - \tau_r) & -x_c < (x - x_{\text{tip}}) < 0 \end{cases} \quad (2)$$

where  $x_{\text{tip}}$  is the position of the rupture tip,  $\tau_p$  is the peak strength and  $\tau_r$  is the residual strength. The local shear stress change is a direct function of the cohesive zone size  $x_c$  and the fracture energy  $G_c$ , i.e., the edge localized energy dissipation, expressed as (Poliakov et al., 2002)

$$G_c = \frac{16(1 - \nu)}{9\pi} \frac{(\tau_p - \tau_r)^2}{\mu} x_c f_{\text{II}}(C_f), \quad (3)$$

where  $f_{II}$  is a non-dimensional function of the rupture velocity  $C_f$ ,  $\nu$  is the Poisson coefficient and  $\mu$  is the shear modulus of the material. Despite its  
170 dependence on  $C_f$ , the product of  $f_{II}(C_f)$  with  $x_c$  results in the static cohesive zone size which is constant, which is why  $G_c$  is independent from  $C_f$ . The shear stress field around the cohesive crack propagating at a rupture speed  $C_f$  was estimated using the analytic function given by (Poliakov et al., 2002) and compared to the solution provided by LEFM, as well as to experimental  
175 measurements.

An example of strain variations derived from strain gauge measurements is presented in Figure 2a with related LEFM (in dashed gray) and CZM (in black) predictions. The LEFM solution was fitted by adjusting a single parameter, the fracture energy  $G_c$ , while the CZM solution was fitted by adjusting the stress  
180 drop ( $\tau_p - \tau_r$ ) and the cohesive zone length  $x_c$ . Both LEFM and CZM predictions output identical values of  $G_c$ . This inversion was done for several events occurring at different applied normal loads (Figure 2b). As expected by previous studies (Okubo and Dieterich, 1984; Bayart et al., 2016),  $G_c$  increases with increasing applied normal load, due to an increase of contact area between the  
185 two surfaces. The values found ranged between 0.5 and 11 J/m<sup>2</sup>, in agreement with previous estimates (Svetlizky and Fineberg, 2014; Bayart et al., 2016).

In complement to LEFM, the CZM allowed for an estimate of the cohesive zone size. Our results suggest that the cohesive zone increases with the initial normal stress applied, with values ranging from 1 to 10 mm at 0.2 and 4 MPa applied normal stress, respectively (Figure 2c). Note that for events presenting small values of  $x_c$ , CZM predictions collapse to those of LEFM, as expected theoretically and previously observed (Svetlizky and Fineberg, 2014). Finally, using our experimental estimates of the rupture velocity and our theoretical predictions for  $x_c$ , a characteristic slip weakening distance was estimated as (Palmer and Rice, 1973)

$$D_c = x_c 4(1 - \nu)(\tau_p - \tau_r) / \pi\mu. \quad (4)$$

$D_c$  increases with the initial normal stress from a few microns at the lowest stress

tested to tens of microns at  $\approx 4$  MPa normal stress (Figure 2c.), in agreement with previous studies (Ohnaka, 2003; Passelègue et al., 2016).

190 *3.2. Comparison to local slip measurements*

The values of fracture energy and frictional parameters inverted from CZM can be compared to the local evolution of stress versus slip. First of all, using the local strain tensor and the material’s elastic properties, under the assumption of plain strain conditions, the shear stress evolution ( $\tau$ ) during instability  
195 was computed at 1 mm from the fault. Secondly, the strain measurements were used to compute the local slip induced along the fault during rupture propagation. The particle velocity was estimated from the strain component parallel to the slip direction, following  $\dot{u}_x = -C_f \varepsilon_{xx}$  (Svetlizky and Fineberg, 2014). Then, local fault slip was obtained by integrating  $\dot{u}_x$  with respect to time. The latter  
200 was compared to the slip obtained from the calibrated accelerometers located along the fault, computed following  $u_x = \int_t^i a(x) dt$ , with  $a$  the measured acceleration in  $m/s^2$  and  $t$  the time during propagation. The evolution of slip during rupture propagation obtained from both strain gauges and accelerometers is comparable. The final values of slip obtained in this way are also comparable to  
205 the macroscopic slip measured by the laser sensor, suggesting that strain gauges provide a robust estimate of the local slip during rupture propagation. The total displacement occurring on the fault was computed as  $D(t) = 2u_x$ , assuming a symmetric displacement across the fault, given the uniform far-field loading.

In agreement with the slip weakening assumption used in CZM, the onset of  
210 slip is marked by a large stress release (around 0.5 MPa) within a small amount of slip (around  $10 \mu m$ ) (Figure 3a), an outcome which is in good agreement with our estimates of  $D_c$  using equation (4). This abrupt weakening stage is followed by a second long-tailed weakening stage during which the stress decreases continuously with increasing slip, at a much lower rate. During the first weakening  
215 stage, 70% of the final stress drop is achieved in the first micrometers of slip (Figure 3). During the second stage, the weakening continues in a less severe manner until the arrest of dynamic slip, defined here as the time at which the

rupture propagated through the entire fault. While the first weakening stage is predicted by CZM at the strain gauge locations (Figure 3b), this long-tailed  
220 weakening is not expected to occur from the model, suggesting that at the scale of our experiments, fault weakening is more complex than expected from linear slip-weakening model. This dual-scale weakening has been observed for decades in studies of engineering materials like concrete (Planas et al., 1997; Bazant, 2004), and is expected to give rise to a scale-dependent fracture energy, as it is  
225 observed from earthquakes scaling law (Madariaga and Meyers, 2009).

## 4. Discussion

### 4.1. Comparison between fracture energy, near-edge and long-tailed breakdown work

Keeping these last observations in mind, we now assume that the evolution  
230 of stress and slip estimated using the strain gauges located at 1 mm from the fault are representative of the real motions occurring along the fault during rupture motions. This assumption seems robust since (i) the slip inverted from strain gauges at 1 mm from the fault is comparable to the one measured by the accelerometers and the laser sensor, (ii) the evolution of the stress 1 mm away  
235 from the fault is close to the evolution of the stress on the fault, particularly in terms of energy dissipated (Figure 3b). In general, off-fault shear stress is similar to that on the fault when it is measured at distances much smaller than the size of the cohesive zone, which is verified here.

The estimates of  $D_c$  allowed us to differentiate two principal weakening  
240 stages and to compute the energy dissipated during each of them. The energy dissipated at the crack edge, also known as the edge-localized dissipation (Barras et al., 2020), was computed for each event as  $W_{b,tip} = \int_0^{D_c} (\tau(D) - \tau(D_c)) dD$ , using the measured shear stress  $\tau$ . These values are in good agreement with  $G_c$  estimates obtained from the direct inversion of strain variation measurements  
245 shown above (Figure 3c), showing that our near-fault stress measurements can

be considered representative of on-fault stress, and, once more, that dynamic fracture mechanics is able to describe the onset of frictional sliding.

Secondly, the total dissipated energy resulting from the full stress evolution (i.e. breakdown work) was computed following

$$W_b = \int_0^{D_{\text{fin}}} (\tau(D) - \tau(D_{\text{fin}})) dD \quad (5)$$

where  $D_{\text{fin}}$  corresponds to the final value of slip recorded during rupture propagation. The energy dissipated during the complete weakening processes presents final values ranging between 1 and 60 J/m<sup>2</sup>, i.e. values that are one order mag-  
 250 nitude greater than  $W_{b,\text{tip}}$  and  $G_c$ . While  $G_c$  slightly increases with applied normal load, as already discussed,  $W_b$  covers a much wider range of values, systematically higher than  $G_c$ , and presents a clear dependence with the final slip (Figure 3d). These observations suggest that contrary to the energy dissipated  
 255 at the rupture edge, which can be considered as a normal contact problem (fault roughness, normal pressure), the energy dissipated during the second weakening stage is rather controlled by frictional processes and slip history, presenting features similar to the breakdown work derived from high velocity friction experiments (Nielsen et al., 2016) and natural earthquakes (Abercrombie and Rice,  
 260 2005). At the scale of our experiments, this large breakdown work does not contribute to the propagation and the dynamics of the rupture tip, since the stress intensity recorded by the strain gauges is controlled by  $W_{b,\text{tip}}$ . However, this could be related to our finite fault length which is small compared to an effective cohesive zone related to the long-tailed weakening stage.

#### 265 4.2. Theoretical implications of long-tailed breakdown work on rupture dynamics

In our experiments, the prolonged weakening does not contribute to fracture energy. However, one may wonder how and at which scale the long-tailed weakening may control rupture dynamics. As a first step, we analyze theoretically the influence of the cohesive stress distribution on the stress intensity factor, and  
 270 examine how stress variations far from the rupture tip may actually contribute to tip dynamics.

Let us consider a semi-infinite straight crack propagating at a velocity  $C_f$  in an infinite elastic medium. The crack is loaded under anti-plane shear conditions with a constant uniform background stress  $\tau_b(x)$ . The propagation of the shear crack is resisted by cohesive frictional stresses  $\tau_f(x, t)$ . Following our experimental results, which provide evidence for a dual-scale weakening stage, the frictional stresses can be decomposed into the sum of three terms defined by (i)  $\tau_{f,\text{tip}}(D(x, t))$  describing the near-tip weakening due to the local instantaneous slip  $D$ , (ii)  $\tau_{f,\text{tail}}(D(x, t))$  associated to the long-tailed weakening, and (iii) the uniform residual stresses  $\tau_{r,\text{tail}}$  at large slip. The stress intensity factor resulting of the evolution of stress with slip is as (Kostrov, 1966)

$$\begin{aligned}
k_{\text{tot}}(x_{\text{tip}}, C_f, t) &= \beta_s(C_f) \int_0^{+\infty} [\tau_b(x_{\text{tip}} - r) - \tau_{r,\text{tail}}] \frac{dr}{\sqrt{r}} \\
&\quad - \beta_s(C_f) \int_0^{C_s t} \tau_{f,\text{tip}}(D(x_{\text{tip}} - r, t - r/C_s)) \frac{dr}{\sqrt{r}} \\
&\quad - \beta_s(C_f) \int_0^{C_s t} \tau_{f,\text{tail}}(D(x_{\text{tip}} - r, t - r/C_s)) \frac{dr}{\sqrt{r}}.
\end{aligned} \tag{6}$$

$$\tag{7}$$

where  $\beta_s(C_f) = \sqrt{\frac{2}{\pi}} \sqrt{1 - C_f/C_s}$  is a universal pre-factor depending of the crack velocity  $C_f$ ,  $r$  is the longitudinal distance to the crack tip,  $t$  is the time spent after the onset of slip motion, and  $C_s$  is the shear wave speed of the material.

The presence of cohesion behind the rupture tip implies that the stresses remain non-singular at the crack tip ( $k_{\text{tot}} = 0$ ). Assuming this, the total stress intensity factor can then be rewritten from equation (6) as

$$k_{\text{tot}}(x_{\text{tip}}, C_f, t) = k(x_{\text{tip}}, C_f) - k_{\text{tip}}(x_{\text{tip}}, C_f, t) - k_{\text{tail}}(x_{\text{tip}}, C_f, t) = 0, \tag{8}$$

where  $k$  is the stress intensity factor that emerges when all weakenings are occurring within an infinitesimally small region behind the crack tip,  $k_{\text{tip}}$  is the contribution of the near-tip weakening frictional stresses, and  $k_{\text{tail}}$  that of the frictional stresses that weaken far from the rupture tip.

290 The different terms of equation (8) are very different by nature. Indeed, since  $\tau_{f,\text{tip}}$  is nonzero only in a small region of dimension  $x_{c,\text{tip}}$  near the tip,  $k_{\text{tip}}$  is independent from time and can be written as a speed-dependent “cohesion modulus”  $k_{\text{tip}}(C_f)$  (i.e. dynamic toughness) (Kostrov, 1966). On the contrary, the contribution  $k_{\text{tail}}$  of the long-tailed weakening to the total stress intensity  
 295 factor  $k_{\text{tot}}$  relates to the distribution of frictional stress  $\tau_{f,\text{tail}}$  in a region of size  $C_s t$  with some delay due to the wave-mediated nature of the stress redistribution. As such, if the breakdown work  $W_b$  of equation (5) depends only on the total slip  $D_{\text{fin}}$ , the energy dissipated at the tip depends on the spatio-temporal evolution of slip  $D(x, t)$  during rupture propagation. One may then  
 300 distinguish two characteristic regimes where (i) only the first weakening  $\tau_{f,\text{tip}}$  participates in the rupture dynamics and the rupture energy balance, and (ii) the two weakenings  $\tau_{f,\text{tip}}$  and  $\tau_{f,\text{tail}}$  are both involved.

When the crack and the amount of slip  $D$  are small, or when the crack velocity  $C_f$  is large, the long-tailed weakening is not activated or its information in the crack wake does not have time to get to the crack tip,  $\tau_{f,\text{tail}}$  of equation (6) remain approximately constant outside of the first cohesive zone. Following equation (8), this large-scale weakening is not perceived by the propagating crack, and does not feed its dynamics. Consequently, the stress singularity in front of the the crack tip is dominated by  $\propto k_{\text{LEFM}}/\sqrt{r}$ , where  $k_{\text{LEFM}} = (k - k_{\text{tail}}) = k_{\text{tip}}(C_f)$ . Assuming this hypothesis, the dynamic energy balance can be written following (Freund (1998) chap. 5):

$$G = \frac{k_{\text{LEFM}}(x_f, C_f)^2}{2\mu\sqrt{(1 - C_f^2/C_s^2)}} = \frac{k_{\text{tip}}(C_f)^2}{2\mu\sqrt{(1 - C_f^2/C_s^2)}} = G_{c,\text{tip}}, \quad (9)$$

meaning the energy dissipated to make the crack propagate corresponds to the near-tip fracture energy only. Note that once the long tailed weakening initiates, the energy release rate is expected to result from the combination of background stress and long tailed frictional stress following  $G \propto (k - k_{\text{tail}})^2$ . However in this intermediate case, no clear residual frictional stress is achieved during propagation, so that the energy balance at the rupture tip is only approximately described by equation (8). On the contrary, when the crack is long enough so

that both the near-tip and long-tailed weakening occur within a small region behind the crack tip, a well defined residual stress  $\tau_{r,tail}$  is reached behind the crack, and this information can reach the crack tip. In that case, both types of weakening feed the rupture dynamics, and the stress singularity is given by  $k_{LEFM} = k$ . The energy balance reads

$$G = \frac{k_{LEFM}(C_f)^2}{2\mu\sqrt{(1-C_f^2/C_s^2)}} = G_{c,tail} + G_{c,tip}. \quad (10)$$

In that case, the fracture energy measured from the tip stresses now equals to the complete breakdown work, potentially much larger than the fracture  
 305 energy associated with the near-tip weakening. Overall, our analysis shows that the impact of the prolonged weakening at large slip (and large distances from the crack tip) on the rupture dynamics is wave-mediated, and as such, may not be significant enough to impact the crack tip energy balance. For short crack lengths or near- $C_s$  rupture, crack dynamics are dominated by the near-tip  
 310 weakening only, and the total breakdown work can be much larger than fracture energy. For large rupture lengths and slow ruptures, we return to a classical situation where breakdown work and fracture energy are equal. The transition between these two simple regimes is quantitatively described in the next section.

#### 4.3. Contributions of long-tailed weakening in the energy release rate

Once the rupture length ( $L_f$ ) reaches a sufficient size  $L_f \gg x_{c,tail}$ , two scenarios are admissible in light of the small-scale yielding requirement (i.e. dissipative phenomena limited to a region much smaller than the dimensions of the system). In a first one (named hereafter S1), the dissipation length characterizing the first weakening mechanism is much smaller than the one of the second weakening  $x_{c,tip} \ll x_{c,tail}$ . A rupture driven exclusively by the first weakening stage could still experience edge-localized dissipation and propagate ahead and almost independently of the long-tailed weakening mechanism (similar to what is observed with rate-dependent friction (Brener and Bouchbinder, 2020)). On the other hand (scenario S2), the first weakening stage becomes a negligible detail inside the large process zone and the macroscopic rupture dynamics is

dominated by the larger fracture energy  $G_{c,\text{tail}}$ . To shed light on the realization of these two scenarios, we conduct numerical simulations of frictional ruptures (see Supplementary Materials for details on the numerical method) driven by slip weakening friction laws with different weakening length scales. For simplicity, only mode III ruptures were studied in order to avoid rupture propagation velocities larger than the shear wave speed, which would add unnecessary complexity to our results. The reference case consists of a linear slip weakening law defined by a peak stress  $\tau_p$ , residual stress  $\tau_r = 0.8\tau_p$  and a slip-weakening distance  $D_{c,\text{tip}}$ . The tested case consists of a dual-scale slip weakening law, that matches the reference case in the first stage, but which is followed by a second long-tailed weakening stage (Figure 4inset) allowing a larger stress release up to a final residual stress  $\tau_{r,\text{tail}} = 0.1\tau_p$  over a weakening distance  $D_{c,\text{tail}} = 50D_{c,\text{tip}}$ . In both cases, the initial background stress ( $\tau_b$ ) along the fault was set to a uniform value, and rupture nucleation was triggered by imposing an elevated stress patch  $\tau_{b,\text{nuc}}$  5% above  $\tau_p$  in a small region at the center of the modeled fault.

During the propagation phase of the rupture, the numerical results obtained for the reference slip weakening law show a symmetric crack-like rupture propagating across the interface, with an increase in stress and slip velocity occurring near the edge of the crack (Figures 4a and 4c). To further investigate the dynamics at the rupture tip, the increase in slip velocity at the vicinity of the crack edge was fitted with LEFM predictions (Figure 4d) following (Barras et al., 2020)

$$v(r = x - x_{\text{tip}}, \theta = \pi, C_f) \approx \frac{K_{\text{III}}^2 C_f}{\sqrt{2\pi(x - x_{\text{tip}})} \mu \alpha_s(C_f)} \quad (11)$$

where  $K_{\text{III}}$  is the stress intensity factor,  $r, \theta$  is a polar coordinate system moving with the rupture edge, and  $\alpha_s(C_f) = \sqrt{1 - C_f^2/C_s^2}$ . The best fit outputs the solution for the stress intensity factor, which is directly related to the energy release rate following

$$G = \frac{K_{\text{III}}^2}{2\mu\alpha_s(C_f)}. \quad (12)$$

315 The latter is used to study the near-tip energy balance controlling the dynamics of the rupture tip during its propagation (Barras et al., 2020). This analysis

demonstrates that during the rupture propagation driven by the simple slip weakening law, the energy balance  $G = G_{c,tip}$  is systematically respected, independently of the rupture length (Figure 4f). Note that small variations in the energy release rate are observed during the crack propagation, due to the uncertainties on the estimate of the rupture velocity and sharp variations of  $1/\alpha_s(C_f)$  near  $C_f \simeq C_s$ . This result confirms that the energy release rate at the crack tip is controlled by the near-tip fault weakening, as expected theoretically (Irwin, 1957; Barras et al., 2020).

Interestingly, the results obtained for the dual-scale weakening law show both of the aforementioned scenarios as function of the background stress. The overall effect of the used dual-scale slip weakening law is reflected in a larger slip and slip velocity in the central part of the crack (Figure 4), which lead to the emergence of a second increase in slip velocity traveling behind the slip velocity peak characterizing the rupture propagation front. Note that such kind of rupture fronts presenting two successive increases in slip velocity have been recently recorded during rupture experiments presenting low rupture velocities, i.e. low initial normal stress, (Berman et al., 2020). For frictional rupture under high background stress (i.e.  $\tau_b = 0.9\tau_p$ ), the nucleated rupture driven by the first-weakening mechanism ( $G = G_{c,tip}$ ) keeps accelerating such that it is barely perturbed by the effect of the long-tailed weakening. An example of such dynamics corresponding to scenario S1 is presented in Figure 4a and shows a propagation very similar to the equivalent simple slip weakening setup. Moreover, the increase in the slip velocity profile generated by the long-tailed weakening leads to an associated energy release rate much smaller than  $G_{c,tail}$ , confirming that it is not controlling rupture propagation (Figure 4f). Conversely, if the background stress is smaller (i.e.  $\tau_b = 0.85\tau_p$ ), the increase of slip rate generated by the second-weakening stage can reach the leading front and accelerate the rupture further. Such situation is shown in Figure 4c that highlights how the rupture is now propagating faster than in the case of simple slip weakening law. The inverted value of G from the slip velocity profile is now balancing  $G_{c,tail}$ , confirming that the long-tailed weakening mechanism is driving the rupture, in

agreement with scenario S2. Remarkably, for the slip-weakening model used in these simulations, dynamic fracture arguments can be used to predict the critical level of background stress that controls the observed transition between the scenario S1 and S2 (see the details in Supplemental material).

#### 4.4. Contributions of long-tailed weakening in presence of a stress heterogeneity

We showed how the long-tailed weakening induces larger slip and higher slip velocities away from the crack tip. One consequence of this additional weakening is that it could help to overcome stress heterogeneities distributed along faults. To study this specific case, we impeded rupture acceleration by introducing a low stressed area at a distance  $x/L_c = 120$  from the center of the fault, with  $L_c = \mu Dc/\tau_p$ . The background stress, set initially at  $\tau_b/\tau_p = 0.90$  was decreased to  $\tau_b/\tau_p = 0.65$  in the outer region of the space domain. Under these conditions, once the rupture nucleates, it propagates generating two slip velocity peaks (Figure 5a), in a similar way to the case without a stress barrier. However, due to the decrease of background stress, which is now much smaller than the residual stress associated to the first weakening  $\tau_r = 0.8\tau_p$ , the crack tip is momentarily stopped (since  $G < G_{c,tip}$ ) at the location of the barrier. The enhanced stress drop due to the prolonged weakening near the crack center promotes the propagation of the rupture across the barrier, which is observed as a second (large) peak slip rate taking over the rupture. The second weakening subsequently controls the complete rupture dynamics, following  $G = G_{c,tail}$  (Figure 5b). These observations suggest that the large amount of slip induced by the long-tailed weakening allows the rupture to overcome zones of lower background stress that would normally stop the rupture controlled by the near-tip weakening only.

While a small value of energy is sufficient to nucleate and propagate a frictional rupture along fault interfaces, the presence of stress heterogeneities along a fault are expected to obstruct the propagation of ruptures induced by a rapid but limited frictional weakening. However, substantial weakening mechanisms

activated at larger slip distances achieved in the central part of the crack can enhance the propagation of seismic rupture through regions of lower background stress, and control afterwards the dynamics of the crack. It emerges a possible  
380 scale dependence in the dynamics of rupture controlled by multiple weakening stages, meaning that critical cracks presenting large values of fracture energy can propagate due to the activation of slip on smaller cracks, which present lower values of fracture energy (i.e. enhancing propagation). This seems in  
385 agreement with recent experimental results highlighting that frictional instabilities are initiated by small events growing and cascading up into a much larger rupture (McLaskey and Lockner, 2014). Following our interpretation, the origin of breakdown work inverted from seismological observations could be related to energy dissipated through frictional weakening mechanisms rather than to the  
390 one dissipated near-edge (i.e. fracture energy of the interface). In fact, while the onset of friction is described by standard fracture processes, as stated in previous studies (Svetlizky and Fineberg, 2014), earthquake motions could be related to frictional weakening processes at the scale of crustal faults, which are expected to promote large values of breakdown work due to the activation of  
395 thermal processes during seismic slip (Di Toro et al., 2011), and to present a clear dependence with slip, as observed for natural earthquakes (Abercrombie and Rice, 2005; Nielsen et al., 2016).

## 5. Relevance for natural earthquakes and conclusions

Our results presented above highlight that:

- 400 i) A two-stage fault weakening is observed experimentally during frictional rupture propagation. A first rapid decay occurs within few microns of slip (ascribed to the critical slip distance  $D_c$ ), followed by a long-tailed weakening, for which a steady state residual strength is not achieved at the scale of our experiments.
- 405 ii) The energy dissipated at the rupture tip is associated with the first weakening stage, defined here as the fracture energy of the interface  $G_c$ . This energy

is the one controlling the onset of frictional rupture as already shown (Svetlizky and Fineberg, 2014). The energy dissipated during the long-tailed weakening corresponds to the breakdown work, which describes frictional weakening processes occurring at the interface during seismic slip.

iii) The derivation of the energy balance through the analysis of the stress intensity factors shows that further weakening, occurring once fracture energy is dissipated, will produce an additional energy release. This is expected to grow with time as more and more slip is achieved, enhancing the energy release rate at the crack tip and facilitating rupture propagation.

iv) Numerical simulations show a large difference between a standard linear slip weakening law, describing the observed first weakening stage only, and a dual-scale slip weakening law, describing both weakening stages, in terms of involved energies. In the case of the simple slip weakening law, the energy balance is controlled by the interface fracture energy  $G_{c,\text{tip}}$ . In the case of the dual-scale slip weakening law, the energy balance is still controlled by  $G_{c,\text{tip}}$  for small rupture lengths, while it becomes controlled by  $G_{c,\text{tail}}$  for rupture lengths sufficiently large. Moreover, in case of stress heterogeneities, the long-tailed weakening can enhance the propagation of the rupture and also completely control the rupture dynamics.

Remarkably, the scaling relationships between seismic slip and breakdown work inverted for mining, induced seismicity, laboratory earthquakes and natural earthquakes also shed light on possible scale-dependent breakdown work. At first sight, the breakdown work of natural earthquakes appears to increase linearly with seismic slip (Abercrombie and Rice, 2005; Tinti et al., 2005; Nielsen et al., 2016; Selvadurai, 2019). Such behavior is expected from earthquakes scaling laws which imply a stress drop independent of the earthquake size, and only a function of the ratio between the slip and the rupture length. For instance, assuming a circular crack model (Brune, 1970; Madariaga, 1976), theoretical predictions of the breakdown work as a function of slip can be made for a given stress drop ( $\Delta\sigma$ ) (Figure 6a), following  $G_c \approx \frac{1}{4}\Delta\sigma\bar{D}$  (Madariaga and Meyers,

2009), with  $\bar{D}$  the average slip. This model predicts a linear dependence between the breakdown work and the average slip, and agrees with breakdown work values estimated for the large earthquakes (with moment magnitude  $M_w > 5$ ) observed in nature (Figure 6a). However (taking the published breakdown work estimates at face value) conversely to theoretical predictions, each subset of smaller earthquakes ( $M_w < 5$  in either experimental faults, mines, injections sites or groups within the same fault zone) seem to follow independent power law (with exponent 2) relation (Figure 6a). This observation is compatible with a linear slip weakening behavior and is explained by the fact that for these events the average slip is not only a function of the rupture length, but it also increases with the stress drop for similar rupture lengths (Figure 6b). This suggests that, conversely to a circular crack model that considers ruptures propagating in an infinite medium (inducing a linear increase of slip with rupture length (Figure 6b)), the seismic rupture propagating during these earthquakes might be finite or geometrically constrained at boundaries, inducing a larger release of stress through an increase of slip.

Could then earthquakes obey to a single slip dependent constitutive law through different length scales? This was proposed by Viesca and Garagash (2015), who showed that a transition from flash heating to thermal pressurization could explain a wide range of observations. Such behavior would imply a continuous increase of the stress drop with slip, which would not be compatible with seismological observations highlighting that earthquakes of much different magnitudes present similar values of stress drops (Figures 6). However, the stress drop is a function of the constitutive law (and for a same constitutive law, of the final slip), but also a function of the initial shear stress acting on the fault, which might differ spatially along the fault itself and change with focal depth. Here, we explore how a multiple-scale slip weakening law is compatible with seismological and experimental estimates of breakdown work. We computed the evolution of the breakdown work as a function of slip for different values of initial shear stress (1, 10, 100 MPa), using a triple slip weakening constitutive law. This simplified frictional constitutive law was established

assuming three distinct weakening mechanisms that are known to operate at  
470 different length scales and to present different critical slip distances: (i) flash  
heating phenomena which induces large strength release within the first microns  
of slip ( $\Delta f = 0.4, D_c = 100\mu\text{m}$ ) (Rice, 2006; Goldsby and Tullis, 2011), (ii) melt  
lubrication or thermal pressurization which are activated at intermediate slip  
distances ( $\Delta f = 0.4$  over a slip distance of  $D_c = 0.1$  m) (Hirose and Shimamoto,  
475 2005; Rice, 2006; Di Toro et al., 2011), (iii) thermal decomposition which induces  
a slight decrease in fault strength at large slip ( $\Delta f = 0.2$  for slip ranging from  
0.1 to 100 meters) (Han et al., 2007; Sulem and Famin, 2009; Brantut et al.,  
2010) (Figure 6a). Computing the breakdown work as a function of slip for this  
constitutive law highlights that each different stage of weakening presents a dis-  
480 tinct scaling relation (i.e. power-law with an exponent 2) at the different length  
scales, allowing to jump from one population of events to the other (Figure 6a).  
The scaling observed for natural earthquakes is well described by this triple slip  
weakening constitutive law, suggesting that earthquakes spanning all possible  
range of magnitudes could obey to a similar set of constitutive laws across the  
485 different length scales, and that the amount of breakdown work resulting from  
rupture propagation is a result of the final slip and of the initial shear stress  
acting along the fault.

Our approach is naturally very simplified and has some limitations. In nature,  
weakening mechanisms are not expected to follow a linear slip weakening  
490 behavior (e.g. Viesca and Garagash, 2015), which could modify the slip de-  
pendence of the breakdown work, as observed in recent studies (Lambert and  
Lapusta, 2020). However, the activation of different weakening mechanisms  
with increasing slip suggests that while the early stage of instabilities could be  
controlled mostly by fracture energy (i.e. the first weakening stage observed  
495 in our experiments), the complete breakdown work and energy release rate at  
the rupture tip is expected to increase with slip. In other words, while natural  
earthquakes might be expected to initiate like classical shear cracks, continued  
earthquake slip should be related to friction at the scale of the entire fault.

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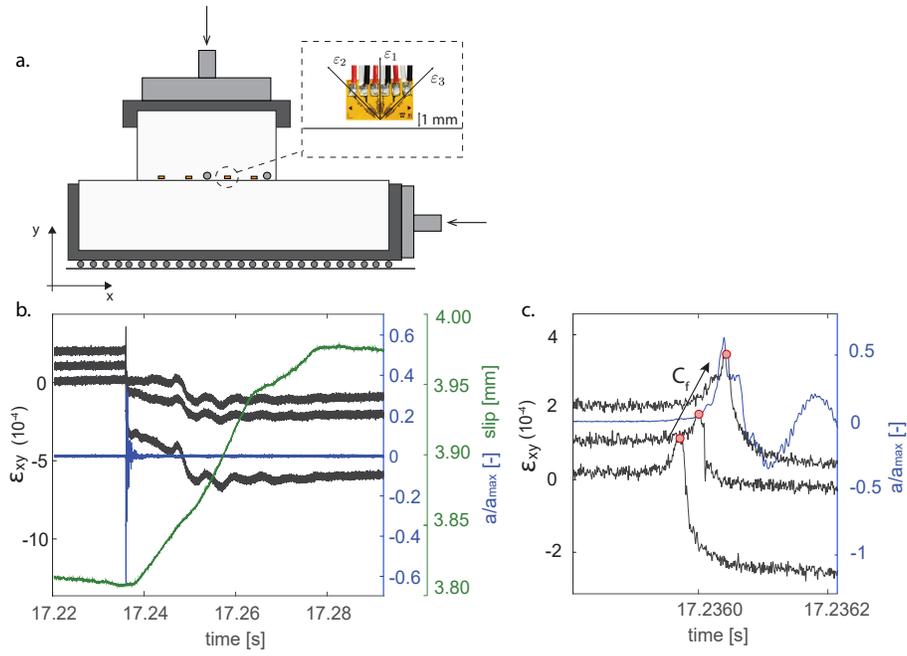


Figure 1: a. Sketch of the biaxial apparatus used to perform stick-slip experiments. Symbols as rectangles and circles represent respectively strain gauges and accelerometers placed at a distance of 1mm from the fault. b. Evolution of strain (in black) during the occurrence of a rupture event. Strain is measured through strain gauge rosettes placed at three different location along the fault. In green the macroscopic slip evolution measured through laser displacement sensor is shown. Macroscopic slip is initiated once rupture has propagated all the way through the fault. The acceleration evolution (in blue) shows radiation occurring mainly during rupture propagation and dissipating as macroscopic slip occurs. c. Zoom of strain and acceleration distributions during the rupture event. Rupture arrival times for each strain rosette (in red) used to estimate the rupture velocity.

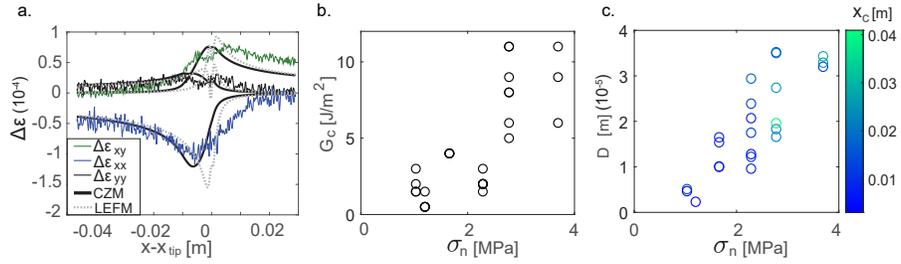


Figure 2: a. Strain variation evolution during a rupture event ( $\Delta \varepsilon_{xx}$ ,  $\Delta \varepsilon_{yy}$ ,  $\Delta \varepsilon_{xy}$ ). Theoretical predictions from CZM (in black) and LEFM (dashed gray) are plotted as well. b. Evolution of fracture energy inverted for different events for increasing applied normal load. c. Critical distance ( $D_c$ ) evolution with applied normal load, obtained by making use of cohesive zone ( $x_c$ ) inverted through CZM.

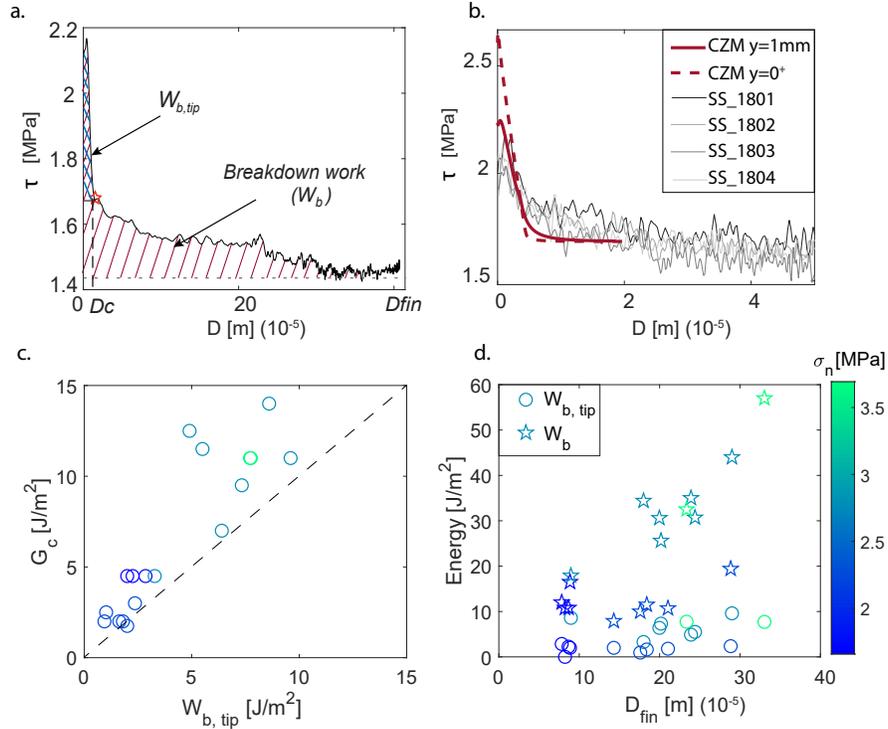


Figure 3: a. Evolution of shear stress with fault slip for a specific rupture event. The area in blue represents the near tip breakdown work ( $W_{b,tip}$ ), the one in red the overall breakdown work ( $W_b$ ). b. Theoretical predictions from CZM corresponding to a distance of 1 mm from the fault (solid red line) and of  $0^+$  mm (dashed red line) plotted with the experimental curves. c. Comparison between fracture energy obtained from theoretical inversions  $G_c$  Figure 2 and  $W_{b,tip}$ . d. Evolution of  $W_{b,tip}$  and  $W_b$  with applied normal load and associated final slip.

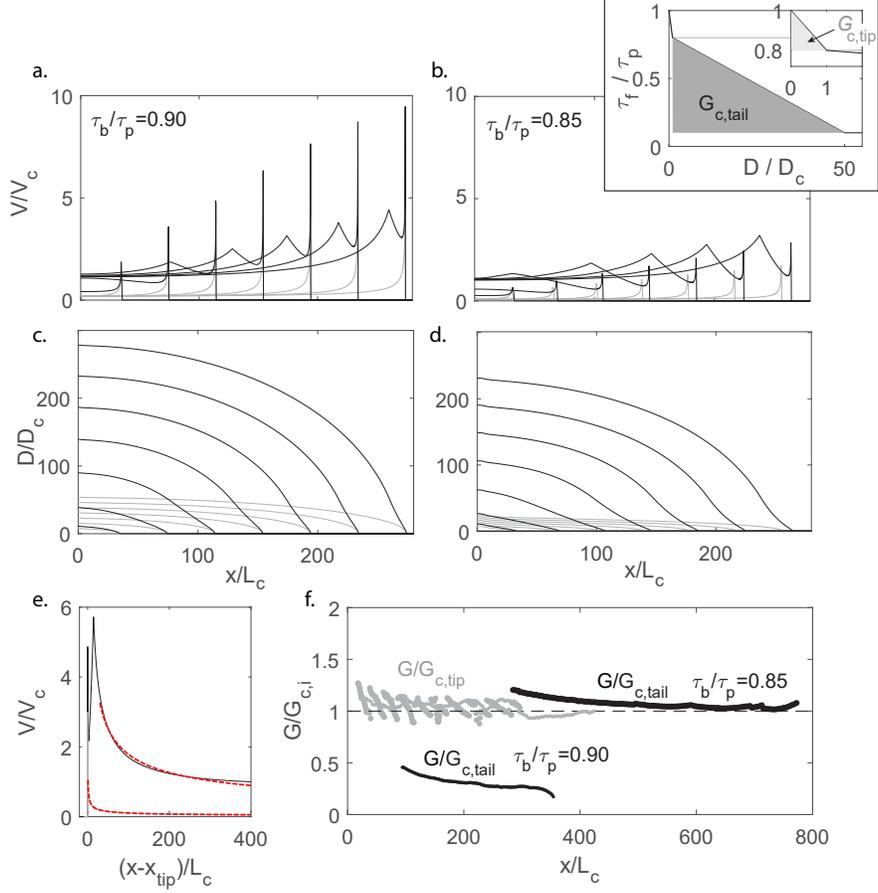


Figure 4: a., b. Normalized slip rate ( $V/V_c$ ) evolution along fault length for simple (in gray) and dual-scale (in black) slip weakening laws, respectively for scenario S1 ( $\tau_b/\tau_p = 0.90$ ) and S2 ( $\tau_b/\tau_p = 0.85$ ).  $V_c = \mu C_s/\tau_p$  is the critical slip rate. Inset: constitutive laws used for the numerical simulations. In gray the simple slip weakening law describing the first weakening stage observed, in black the dual-scale slip weakening law describing both first and second weakening with associated fracture energies ( $G_{c,tip}, G_{c,tail}$ ). c.,d. Slip profile evolution along fault length for both weakening laws for scenario S1 and S2. e. Example of fit of slip rate profiles with theoretical predictions (in dashed red) for the simple weakening case and dual-weakening case with  $\tau_b/\tau_p = 0.85$ . f. Energy release rate evolution with rupture size for the simple weakening law normalized by fracture energy  $G_{c,tip}$  (in gray) and for the dual-scale weakening law normalized by fracture energy  $G_{c,tail}$  (in black) for scenario S1 and S2.

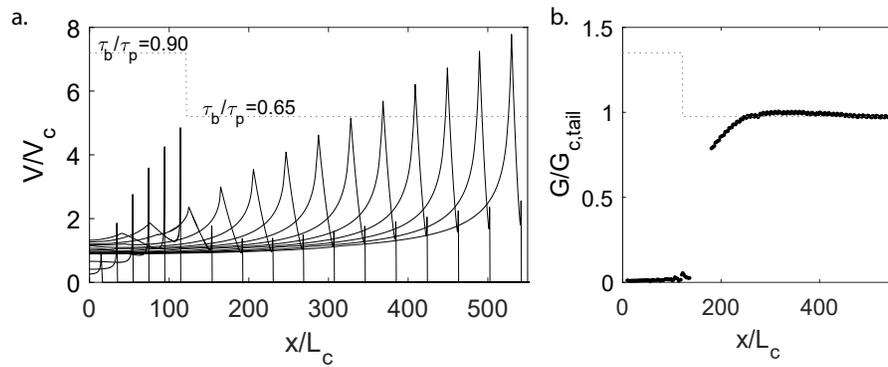


Figure 5: a. Slip rate evolution with rupture length in presence of a stress barrier with rupture propagation controlled by the dual-scale weakening law. The initial background stress distribution is presented by the grey dashed line. b. Energy release rate evolution with rupture length. Once overpassed the stress barrier, the energy release rate jumps to the value of fracture energy describing the long-tailed weakening  $G = G_{c,tail}$  (i.e., rupture dynamics controlled by the long-tailed weakening).

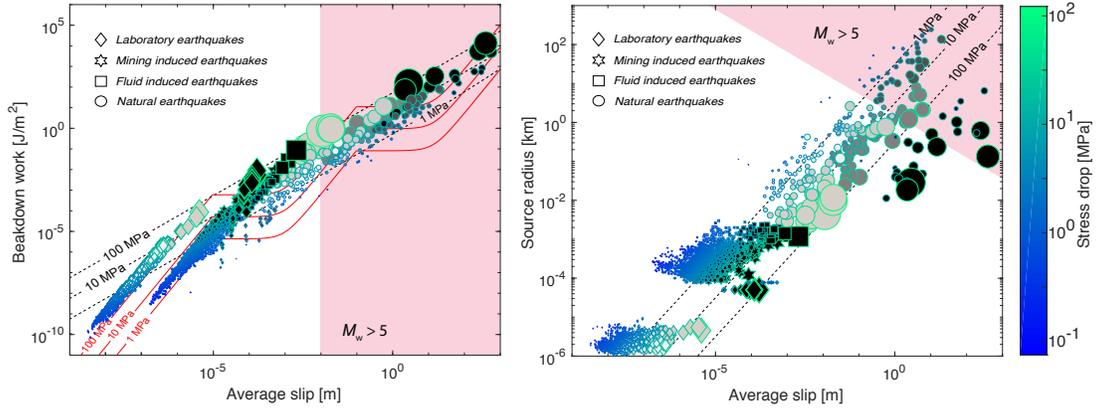


Figure 6: a. Scale dependence of the effective fracture energy with the size of the seismic rupture, here represented by the size of the seismic slip. Diamonds, hexagrams, squares, circles correspond to breakdown work inverted in laboratory earthquakes, mining earthquakes, fluid-induced earthquakes, and natural earthquakes, respectively. Colors differentiate the population of events occurring along a same experimental setup, same mines or injection sites, and a same fault zone area. The black dashed lines correspond to the evolution of the breakdown work as a function of the average slip assuming source model in infinite medium (Madariaga, 1976), for three different stress drops. The red lines correspond to the prediction using the triple slip weakening constitutive law described in the manuscript for three different values of initial shear stress. b. Scaling relation between the average slip and the source radius for the same populations of events. Dashed black lines corresponds to the linear evolution of slip with rupture length assuming different stress drops. The color bar and the size of the symbols in (a.) and (b.) correspond to the stress drop estimated for each event. References to the data plot in this figure can be found in Supplementary Material.